091-23552gn

For Internal Use SEC
Only
Sec File No. 9Mail Processing
Section

Submit 1 Original and 9 Copies

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Washington DC 411

NOV 24 ZU17

FORM 19b-4(e)

OMB APPROVAL

OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response.....3.60

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Nasdaq PHLX LLC (traded pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company** 3. Class of New Derivative Securities Product: **Exchange Traded Fund** 4. Name of Underlying Instrument: FTSE Brazil RIC Capped Index 17004037 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based **Broad-based** 6. Ticker Symbol(s) of New Derivative Securities Product: **FLBR** 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: Boyespa 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 2 (cash settled) 9. Position Limits of New Derivative Securities Product (if applicable): N/A Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Colleen Steele **Assistant Corporate Secretary** Securities and Exchange Commission Telephone Number: Trading and Markets 301-978-8736 Manual Signature of Official Responsible for Forms Securities Exchange Act of 1934 Date: November 13, 2017 Act SEC 2449 (6-01) Rule

Public Availability: